

2018 Current Fiscal Year Report: National Advisory Neurological Disorders and Stroke Council

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1. Department or Agency

Department of Health and Human Services

2. Fiscal Year

2018

3. Committee or Subcommittee

National Advisory Neurological Disorders and Stroke Council

3b. GSA Committee No.

955

4. Is this New During Fiscal Year?

No

5. Current Charter

05/31/2018

6. Expected Renewal Date

05/31/2020

7. Expected Term Date

8a. Was Terminated During Fiscal Year?

No

8b. Specific Termination Authority

8c. Actual Term Date

9. Agency Recommendation for Next Fiscal Year

Continue

10a. Legislation Req to Terminate?

Not Applicable

10b. Legislation Pending?

Not Applicable

11. Establishment Authority Statutory (Congress Created)

12. Specific Establishment Authority

42 U.S.C. 284a

13. Effective Date

11/20/1985

14. Committee Type

Continuing

14c. Presidential?

No

15. Description of Committee Other Committee

16a. Total Number of Reports

No Reports for this Fiscal Year

17a. Open Meetings and Dates 0 17b. Closed Meetings and Dates 0 17c. Partially Closed Meetings and Dates 3 17d. Total Meetings and Dates 3

Purpose

Program Advisory/Second Level Peer Review

Program Advisory/Second Level Peer Review

Program Advisory/Second Level Peer Review

Start

02/01/2018

05/24/2018

09/12/2018

End

- 02/01/2018

- 05/24/2018

- 09/13/2018

Number of Committee Meetings Listed: 3

18a(1). Personnel Pmts to Non-Federal Members

\$9,800.00 \$21,600.00

18a(2). Personnel Pmts to Federal Members

\$0.00 \$0.00

18a(3). Personnel Pmts to Federal Staff

\$200,079.00 \$203,881.00

18a(4). Personnel Pmts to Non-Member Consultants

\$2,800.00 \$2,000.00

18b(1). Travel and Per Diem to Non-Federal Members

\$30,741.00 \$39,616.00

18b(2). Travel and Per Diem to Federal Members

\$0.00 \$0.00

18b(3). Travel and Per Diem to Federal Staff

\$0.00 \$0.00

18b(4). Travel and Per Diem to Non-member Consultants	\$9,713.00	\$4,856.00
18c. Other(rents,user charges, graphics, printing, mail, etc.)	\$23,830.00	\$24,307.00
18d. Total	\$276,963.00	\$296,260.00
19. Federal Staff Support Years (FTE)	1.20	1.20

20a. How does the Committee accomplish its purpose?

Section 492 of the PHS Act states that The Secretary...shall by regulation require appropriate technical and scientific peer review of -- (A) applications...; and (B) ..biomedical and behavioral research and development contracts... This council is composed of both scientific and public members who provide the second level of peer review; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Institute. The National Institute of Neurological Disorders and Stroke Council reviewed 7,564 applications (assigned to NINDS primary or secondary) requesting \$9,559,173,166. The Council provided concept clearance for 12 program initiatives for possible future funding. The Council also provided a second level of peer review of specific laboratories within the Institute's Intramural Program.

20b. How does the Committee balance its membership?

The National Advisory Neurological Disorders and Stroke Council is composed of 18 appointed members with research interests and responsibilities paralleling those of the National Institute of Neurological Disorders and Stroke. Twelve members are scientists who are outstanding medical or scientific authorities knowledgeable in the fields of neurological disorders including stroke and neuromuscular disorders, as well as public health and behavioral or social sciences. Six of the members are representatives of the general public with interests in public policy, law, health policy, economics and management.

20c. How frequent and relevant are the Committee Meetings?

Meetings are held not less than three times each fiscal year. The National Advisory Neurological Disorders and Stroke Council provides advice on a continuing basis on the type of scientific research programs that should be supported and on how to carry out the designated mission and responsibilities of the Institute. It also provides ongoing review of the relevance and effectiveness of the existing programs.

20d. Why can't the advice or information this committee provides be obtained elsewhere?

The National Advisory Neurological Disorders and Stroke Council is composed of both scientific and public members who provide the second level of peer review for research

grant applications; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Institute. The quality, scope and balance of advice from this Council cannot be obtained from NIH staff or from other established sources because the membership of the Council is constituted to meet specific requirements of the mandated mission of the Council and of the Institute.

20e. Why is it necessary to close and/or partially closed committee meetings?

The meetings of the National Advisory Neurological Disorders and Stroke Council were closed to the public for the review of grant applications. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permit the closing of meetings where discussion could reveal confidential trade secrets or commercial property such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

21. Remarks

Committee Function: Other - National Scientific and Advisory Reports: This committee did not produce any public reports during the fiscal year. The DFO and Committee Decision Maker positions are both held by Robert Finkelstein, Ph.D. He is the Director of the Division of Extramural Activities, NINDS. As DEA Director, he is responsible for overseeing the committee as DFO and as the Decision Maker. Administrative extensions: Members Dr. David Julius, Ms. Ilene Penn Miller, and Dr. Ralph Sacco were administratively extended while new members and nominees were delayed in completing the appointment process.

Designated Federal Officer

ROBERT FINKELSTEIN DIRECTOR, DIVISION OF EXTRAMURAL ACTIVITIES

Committee Members	Start	End	Occupation	Member Designation
ABBOTT, LAURENCE	08/21/2016	07/31/2020	WILLIAM BLOOR PROFESSOR OF THEORETICAL NEUROSCIENCE	Special Government Employee (SGE) Member
AWAD, ISSAM	08/07/2016	07/31/2020	DIRECTOR AND PROFESSOR	Special Government Employee (SGE) Member
AZAR II, ALEX	01/29/2018	01/20/2021	SECRETARY	Ex Officio Member
BEVER, CHRISTOPHER	05/20/2015	07/31/2022	ASSOCIATE CHIEF OF STAFF FOR RESEARCH AND DEVELOPMENT	Ex Officio Member
BROOKS-KAYAL, AMY	08/14/2014	07/31/2018	PROFESSOR OF PEDIATRICS AND NEUROLOGY	Special Government Employee (SGE) Member
CHEN, KAREN	12/28/2014	07/31/2018	CHIEF SCIENTIFIC OFFICER	Special Government Employee (SGE) Member
CLINE, HOLLIS	05/13/2018	07/31/2021	CHAIRMAN	Special Government Employee (SGE) Member
COETZEE, TIMOTHY	01/11/2015	07/31/2018	CHIEF ADVOCACY, SERVICES AND RESEARCH OFFICER	Special Government Employee (SGE) Member
COLLINS, FRANCIS	08/17/2009	01/20/2021	DIRECTOR	Ex Officio Member

DAVIDSON, BEVERLY	12/28/2014	07/31/2018	PROFESSOR AND DIRECTOR	Special Government Employee (SGE) Member
DICKINSON, SUSAN	08/05/2018	07/31/2021	CHIEF EXECUTIVE OFFICER	Special Government Employee (SGE) Member
FISHELL, GORDON	01/24/2016	07/31/2019	PROFESSOR	Special Government Employee (SGE) Member
GUTMANN, DAVID	11/29/2015	07/31/2019	DONALD O. SCHNUCK PROFESSOR OF NEUROLOGY	Special Government Employee (SGE) Member
HACKNEY, DAVID	05/13/2018	07/31/2021	PROFESSOR	Special Government Employee (SGE) Member
HARGAN, ERIC	10/10/2017	01/29/2018	ACTING SECRETARY	Ex Officio Member
HIESHETTER, JANET	11/29/2015	07/31/2019	EXECUTIVE DIRECTOR	Special Government Employee (SGE) Member
HINDS, SIDNEY	08/01/2017	07/31/2022	BRAIN HEALTH RESEARCH PROGRAM COORDINATOR	Ex Officio Member
JOHNSTON, KAREN	05/13/2018	07/31/2021	PROFESSOR OF NEUROLOGY AND PUBLIC HEALTH SCIENCES	Special Government Employee (SGE) Member
JULIUS, DAVID	08/26/2013	01/28/2018	PROFESSOR	Special Government Employee (SGE) Member
KOROSHETZ, WALTER	02/20/2018	07/31/2019	DIRECTOR	Ex Officio Member
MILLER, ILENE	08/26/2013	01/28/2018	VICE PRESIDENT AND TREASURER	Special Government Employee (SGE) Member
OVBIAGELE, BRUCE	11/15/2015	07/31/2019	ADMIRAL PAUL E. PIHL ENDOWED PROFESSOR OF NEUROSCIENCES	Special Government Employee (SGE) Member
PERRIN, STEVEN	12/27/2015	07/31/2019	CHIEF EXECUTIVE OFFICER, CHIEF SCIENTIFIC OFFICER, PRESIDENT AND VICE CHAIRMAN	Special Government Employee (SGE) Member
RAMAN, INDIRA	08/21/2016	07/31/2020	BILL AND GAYLE COOK PROFESSOR OF BIOLOGICAL SCIENCES	Special Government Employee (SGE) Member
ROBERDS, STEVEN	08/21/2016	07/31/2020	CHIEF SCIENTIFIC OFFICER	Special Government Employee (SGE) Member
SACCO, RALPH	08/26/2013	01/28/2018	PROFESSOR AND CHAIRMAN	Special Government Employee (SGE) Member
WRIGHT, DON	09/29/2017	10/10/2017	Acting Secretary, HHS	Ex Officio Member
ZIPURSKY, S.	01/25/2015	07/31/2018	INVESTIGATOR AND PROFESSOR	Special Government Employee (SGE) Member

Number of Committee Members Listed: 28

Narrative Description

The goal of NIH research is to acquire new knowledge to help prevent, detect, diagnose, and treat disease and disability, from the rarest genetic disorder to the common cold. The NIH mission is to uncover new knowledge that will lead to better health for everyone. NIH works toward that mission by: conducting research in its own laboratories; supporting the research of non-Federal scientists in universities, medical schools, hospitals, and research institutions throughout the country and abroad; helping in the training of research investigators; and fostering communication of medical and health sciences information. Section 492 of the Public Health Service Act states that The Secretary...shall by regulation require appropriate technical and scientific peer review of (A) applications...; and (B)...biomedical and behavioral research and development contracts... The mission of the NANDS Council is to provide advice to the Director, NINDS on policies and priorities

governing support of biomedical and behavioral research on the neurological sciences and to serve as the second level of review for research grants in this area. The Council meets its mission through open session discussion of policy issues and programmatic initiatives and closed session discussion of individual grant applications. The National Institute of Neurological Disorders and Stroke Council reviewed 7,564 applications (assigned to NINDS primary or secondary) requesting \$9,559,173,166 first-year direct costs.

What are the most significant program outcomes associated with this committee?

Checked if Applies

- | | |
|---|-------------------------------------|
| Improvements to health or safety | <input type="checkbox"/> |
| Trust in government | <input type="checkbox"/> |
| Major policy changes | <input type="checkbox"/> |
| Advance in scientific research | <input checked="" type="checkbox"/> |
| Effective grant making | <input checked="" type="checkbox"/> |
| Improved service delivery | <input type="checkbox"/> |
| Increased customer satisfaction | <input type="checkbox"/> |
| Implementation of laws or regulatory requirements | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |

Outcome Comments

The NINDS Council is composed of 12 scientific members and 6 public members who provide the second level of peer review, advise on policy development, program implementation and evaluation, and identify research needs and opportunities of the Institute.

What are the cost savings associated with this committee?

Checked if Applies

- | | |
|----------------------------|-------------------------------------|
| None | <input type="checkbox"/> |
| Unable to Determine | <input checked="" type="checkbox"/> |
| Under \$100,000 | <input type="checkbox"/> |
| \$100,000 - \$500,000 | <input type="checkbox"/> |
| \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| \$1,000,001 - \$5,000,000 | <input type="checkbox"/> |
| \$5,000,001 - \$10,000,000 | <input type="checkbox"/> |
| Over \$10,000,000 | <input type="checkbox"/> |
| Cost Savings Other | <input type="checkbox"/> |

Cost Savings Comments

NIH-supported basic and clinical research accomplishments often take many years to unfold into new diagnostic tests and new ways to treat and prevent diseases.

What is the approximate Number of recommendations produced by this committee for the life of the committee?

129,139

Number of Recommendations Comments

The number of recommendations includes the second level review of grant applications. The Council provided concept review for four proposed initiatives for possible future funding. The Council also provided a second level of peer review of specific laboratories within the Institute's Intramural Program.

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

0%

% of Recommendations Fully Implemented Comments

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organizations and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

0%

% of Recommendations Partially Implemented Comments

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organizations and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes ☒ No ☐ Not Applicable ☐

Agency Feedback Comments

The agency provides feedback at the Council meetings, in reports and on the agency website.

What other actions has the agency taken as a result of the committee's advice or recommendation?

Checked if Applies

Reorganized Priorities	<input checked="" type="checkbox"/>
Reallocated resources	<input checked="" type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>

Action Comments

An action of "approved" or "recommended" for grants receiving review by this Council does not infer that the grant will be or has been funded. Research grant applications submitted to NIH must go through a two-step review process that includes the initial peer review for scientific and technical merit and a second step of review and approval by a National Advisory Council that includes program relevance. In addition, prior to an award or funding being made, NIH staff must conduct an administrative review for a number of other considerations. These include alignment with NIH's funding principles, review of the project budget, assessment of the applicant's management systems, determination of applicant eligibility, and compliance with public policy requirements. After all these steps have been completed, NIH officials make funding decisions on individual grant applications.

Is the Committee engaged in the review of applications for grants?

Yes

What is the estimated **Number** of grants reviewed for approval 7,564

What is the estimated **Number** of grants recommended for approval 7,564

What is the estimated **Dollar Value** of grants recommended for approval \$969,238,574

Grant Review Comments

NA

How is access provided to the information for the Committee's documentation?

	Checked if Applies
Contact DFO	<input checked="" type="checkbox"/>
Online Agency Web Site	<input checked="" type="checkbox"/>
Online Committee Web Site	<input checked="" type="checkbox"/>
Online GSA FACA Web Site	<input checked="" type="checkbox"/>
Publications	<input type="checkbox"/>
Other	<input type="checkbox"/>

Access Comments

N/A